

2877  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Robert K. Rowe et al.

Serial No.: 09/832,631

Examiner: Unknown

Filed: April 11, 2001

Group Art Unit: 2877

For: ENCODED VARIABLE FILTER SPECTROMETER

Docket No.: 1023.1123101

**TRANSMITTAL SHEET**

Assistant Commissioner for Patents  
Washington, D.C. 20231

RECEIVED  
OCT-27 2001  
TO E.O. MAIL ROOM

Sir:

**CERTIFICATE UNDER 37 C.F.R. 1.8:** I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 23rd day of October, 2001.

By

David M. Crompton

We are transmitting herewith the attached:

☐ Amendment

☐ No additional fee required

☐ The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X9=	\$	X18=	\$
INDEPENDENT CLAIMS	-	=		X40=	\$	X80=	\$
( ) FIRST MULTIPLE DEPENDENT CLAIM				+135=	\$	+270=	\$
TOTAL				\$		\$	

- [ ] A check in the amount of \$\_\_\_\_\_ is enclosed.
- [ ] Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by verified statement previously submitted.
- [ XX ] Other: SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT, FORM PTO-1449 AND CITED REFERENCES.
- [XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: \_\_\_\_\_

David M. Crompton

Reg. No. 36,772

David M. Crompton  
CROMPTON, SEAGER & TUFTE, LLC  
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Robert K. Rowe et al.

Serial No.: 09/832,631

Examiner: Unknown

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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By

David M. Crompton

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

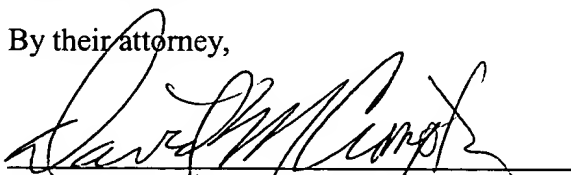
No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

Robert K. Rowe et al.

By their attorney,

Dated: 10/23/01



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